



3624  
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PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	09/428,284
		Filing Date	October 27, 1999
		First Named Inventor	Andrew D. Holmes
		Group Art Unit Number	3624
		Examiner Name	Jeffrey C. Pwu
Total Number of Pages In This Submission	60	Attorney Docket Number	3894

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/>
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<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Amir H. Raubvogel, Reg. No. 37,070	Dated:	December 18, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Amir H. Raubvogel	Dated:	December 18, 2002
Express Mail Mailing Number (optional):			

16319/03894/DOCS/1317675.1

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PTO/SB/17 (10-01)(modified)

OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0902/PTO(modified)  
Rev. 10/2001U.S. Department of Commerce  
Patent and Trademark Office

Complete if Known

Application Number	09/428,284
Filing Date	October 27, 1999
First Named Inventor	Andrew D. Holmes
Group Art Unit	3624
Examiner Name	Jeffrey C. Pwu
Attorney Docket Number	3894

## FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 0.00)

## METHOD OF PAYMENT

## 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.†
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK &amp; WEST LLP

A Duplicate Copy of this authorization is attached

2. ☐ Payment Enclosed:☐ Check ☐ Credit Card ☐ Other

## FEE CALCULATION (fees effective 10/01/2001)

## 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$740	2001/\$370	Utility Filing	
1002/\$330	2002/\$165	Design Filing	
1004/\$740	2004/\$370	Reissue	
1005/\$160	2005/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 0.00)

## 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$84	2201/\$42	Independent claims in excess of 3
1203/\$280	2202/\$140	Multiple dependent claim
1204/\$84	2204/\$42	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent

## 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	
1251/\$110	2251/\$55	Extension for response within first month†	
1252/\$400	2252/\$200	Extension for response within second month†	
1253/\$920	2253/\$460	Extension for response within third month†	
1254/\$1,440	2254/\$720	Extension for response within fourth month†	
1255/\$1,960	2255/\$980	Extension for response within fifth month†	
1401/\$320	2401/\$160	Notice of Appeal	
1453/\$1,280	2453/\$640	Petition to revive unintentionally abandoned application	
1501/\$1,280	2501/\$640	Utility Issue Fee (Or Reissue)	
1502/\$460	2502/\$230	Design Issue Fee	
1460/\$130	1460/\$130	Petitions to the Commissioner	
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	
1801/\$740	2801/\$370	Request for Continued Examination (RCE)	
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	
1809/\$740	2809/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810/\$740	2810/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 0.00)

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims	Highest No. Previously Paid For		Extra**					
TOTAL	52	20 or 57	=	0	x	18	=	0	
INDEP	14	3 or 14	=	0	x	84	=	0	
[ ] First presentation of multiple dependent claim									

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 0.00)

## SUBMITTED BY

Typed or Printed Name Amir H. Raubvogel

## Complete (if applicable)

Reg. Number 37,070

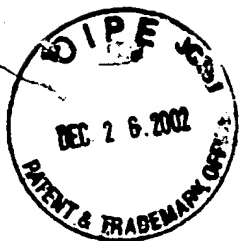
Signature

Date

December 18, 2002

16319/03894/DOCS/1317680.1

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



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GROUP 3603

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APPLICANTS: Andrew D. Holmes, Lee Horigan, Jeffrey A. Langston,  
David McMurtry, Sylvain Tremblay, and Raymond P.  
Trounday

SERIAL NO: 09/428,284

FILING DATE: October 27, 1999

TITLE: Multiple Exchange Rate Financial Transaction Manager

EXAMINER: Jeffrey C. Pwu

GROUP ART UNIT: 3624

ATTY. DKT. NO.: 3894

CERTIFICATE OF MAILING

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Dated: December 18, 2002

By: 

Amir H. Raubvogel, Reg. No.: 37,070

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

AMENDMENT B

SIR:

The following amendments and remarks are in response to the Office Action  
dated October 23, 2002.